



List of Circulars issued by Exchange till March 31, 2025

Date	Subject	Exchange Notice No.
July 24, 2024	Enlistment of entities as Research Analyst and Investment Adviser	20240724-58
September 23, 2024	Framework For Centralized Fee Collection Mechanism (CeFCoM) For Investment Advisers (IA) & Research Analysts (RA)	20240923-8
October 24, 2024	Clarification with respect to advertisement code for Research Analysts (RAs)	20241028-34
October 28, 2024	Periodic reporting format for Research Analysts	20241028-36
October 29, 2024	TRAI – Directions	20241029-38
October 29, 2024	Association of persons regulated by the Board and their agents with certain Persons	20241029-2
November 19, 2024	TRAI SoPs to guide PEs in registering their PE-TM chain binding on the DLT platform -reg	20241119-2
December 09, 2024	Grievance Redressal/ Escalation Matrix to be displayed by Investment Advisers and Research Analysts	20241209-41
December 24, 2024	Advertisement charges applicable to Investment Advisers (IAs) and Research Analysts (RAs)	20241224-18
December 27, 2024	Mandatory Compliance with SHe-Box Portal Requirements under The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013	20241227-35
December 30, 2024	Prior approval for change in control: Transfer of shareholdings among immediate relatives and transmission of shareholdings and their effect on change in control.	20241230-12
January 10, 2025	Guidelines for Research Analysts	20250110-3
February 03, 2025	Details/clarifications on provisions related to association of persons regulated by the Board, MIs, and their agents with persons engaged in prohibited activities	20250203-7
February 25, 2025	Most Important Terms and Conditions (MITC) for Research Analysts	20250225-49
March 05, 2025	Deposit requirement for Research Analysts (RAs)	20250305-52
March 13, 2025	Notification for amendments in the terms of sub-regulation (3) of Regulation 7 of Securities and Exchange Board of India (Research Analysts) Regulations, 2014	20250313-10